

A³ 49. (Amended) The method claim 1, wherein the security comprises at least one of a collateral-trust certificate, a preorganization certificate or subscription, a transferable share, an investment contract, a voting-trust certificate, and a certificate of deposit for a security issued by the entity.

50. (Amended) The method claim 1, wherein the security comprises an option in securities issued by the entity.

55. (Amended) The method of claim 1, wherein the security is convertible, with or without consideration, into another security, or carries a warrant or right to subscribe to or purchase such other security.

56. (Amended) The method of claim 1, wherein the security comprises a certificate of interest or participation in, temporary or interim certificate for, receipt for, or warrant or right to subscribe to or purchase, a security issued by the entity.

A⁴ 57. (Amended) The method of claim 1, wherein the security is issued by a corporation.

58. (Amended) The method of claim 1, wherein the security is issued by a partnership.

59. (Amended) The method of claim 1, wherein the security is issued by a limited liability company.

60. (Amended) The method of claim 1, wherein the security is issued by a limited liability partnership.

61. (Amended) The method of claim 1, wherein the security is issued by a trust.

62. (Amended) The method of claim 1, wherein the security is issued by a labor group.

63. (Amended) The method of claim 1, wherein the security is issued by a union.

64. (Amended) The method of claim 1 wherein the security is issued by a mutual fund.

65. (Amended) The method of claim 1, wherein the security is issued by a at least one of an individual and a group of individuals with assets or which are capable of providing goods or services.

A4 66. (Amended) The method of claim 1, wherein the royalty is a measure of economic benefit to the issuing entity.

67. (Amended) The method of claim 1, wherein the royalty is a measure of economic detriment to at least one of the other parties or intermediaries involved in the transaction.

79. (Amended) The method of claim 1, wherein the royalty to be paid to the issuing entity is due to the entity for every transaction.

80. (Amended) The method of claim 1, wherein the royalty to be paid to the issuing entity is due to the entity for every predetermined number of transactions.

6 A 81. (Amended) The method of claim 1, wherein the royalty to be paid to the issuing entity is due to the entity for a sets of transactions.

82. (Amended) The method of claim 1, wherein the royalty to be paid to the issuing entity is due to the entity for randomly selected transactions.

83. (Amended) The method of claim 1, wherein the royalty to be paid to the issuing entity is due to the entity for transactions involving only particular securities issued by that entity.

84. (Amended) The method of claim 1, wherein the royalty to be paid to the issuing entity is due to the entity only for particular types of transactions.
